



Six factors considered in Compliance Review:

1. General (includes accident monitoring and reporting)
2. Driver qualification
3. HOS Monitoring
4. Maintenance records
5. HAZMAT
6. Accident factor

Factor 2

Driver's Employment Application

- 10 yrs job history – most recent 3 years verified
- MVR – 3 yrs driving history
- DOT Card – current and most recent expired
- Road test cert (or CDL if CMV greater than 26,001 GVWR)
- Proof of negative pre-employment drug test (custody form & advice of negative result)
- Random drug testing
- 7 day sheet
- Once per year and Annual record check
 - driver's certification of violations
 - another MVR each year
 - carriers certification of drivers qualification

Factor 3

HOS Monitoring

- Operations
- Hours of service (drivers logs)
- 11,14 and 60/70 hr rules
- Check for form and manner violations
- You may use the 100 or 150 mile radius exemption
- Education and enforcement

Factor 4

Maintenance files must contain:

- Identification of vehicle
- Show year, make, model, serial # and tire size
- Intended maintenance and inspection schedule
- List of maintenance and inspections completed
- Date, odometer reading, nature of work, name of tech
(Name only needed for brake work and annual inspections)

You need to have credentials for each person who works on brakes and each person who performs DOT Annual inspections

Factor 5

Hazmat materials record (if applicable)

- Hazmat Carriers are required to have records of training for all drivers
- Copies of Hazmat Shipping papers
- Security plan
- Certification of qualification

Factor 6

An accident register

- Copies of all accident reports should be kept in folder for 3 years
- DOT-recordable accidents are:
 - Accident in which any vehicle was towed
 - Accident in which anybody was injured
 - Fatal

(accidents x 1,000,000) : total miles = rate (above 1.5 unsatisfactory)